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J1017 U.S. PTO

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09/927963

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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

F- 260

First Inventor

Leon A. Pintsov et al.

Title
METHOD AND APPARATUS FOR TRACKING MAIL
ITEMS THROUGH A CARRIER DISTRIBUTION SYSTEM

Express Mail Label No.

EF041588966US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 23]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
5. Oath or Declaration [Total Pages 2]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP)

of prior application No.: 09, 703,231

Prior application information:

Examiner:

Group Art Unit: 2161

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)



Correspondence address below

Name

Steven J. Shapiro, Esq.

Address

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Registration No. (Attorney/Agent)

35,677

Signature



Date

5/10/2004

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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08/10/01

Docket Number F-260
Pitney Bowes Inc.
35 Waterview Drive
P.O. Box 3000
Shelton, CT 06484-8000
August 10, 2001

ASSISTANT COMMISSIONER FOR PATENTS
BOX PATENT APPLICATION
WASHINGTON, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

Inventor: Leon A. Pintsov et al.

For: **METHOD AND APPARATUS FOR TRACKING MAIL ITEMS THROUGH A CARRIER DISTRIBUTION SYSTEM**


Enclosed are:

- 23 pages comprising the specification, claims, and abstract.
3 sheets of drawings.
A Declaration and Power of Attorney.
A Recordation of Assignment Request, plus one copy, and a copy of the Assignment of the invention to Pitney Bowes Inc., 1 Elmcroft Road, Stamford, Connecticut 06926-0700.
A Utility Patent Application Transmittal, plus one copy.
A Certificate of Mailing by Express Mail.
A Receipt postcard.

Fees calculated as follows:

Basic Fee							\$ 710.00
Claims Fee	Number Filed		Number Extra		Rate		
Total Claims	21	- 20 =	1	X	\$18.00	=	\$ 18.00
Independent Claims	2	- 3 =	0	X	\$80.00	=	\$ 0.00
Multiple Dependent Claims					\$270.00	=	\$
Total Filing Fee							\$ 728.00

[illegible]


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Reg. No. 35,677
Attorney of Record
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A copy of this Transmittal Letter is enclosed for use in charging the Deposit Account.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:) Attorney Docket No.: F-260
Leon A. Pintsov et al.) Group Art Unit: TBD
Serial No.:) Examiner:
Filed: Concurrently herewith) Date: August 10, 2001
Title: METHOD AND APPARATUS FOR TRACKING MAIL ITEMS
THROUGH A CARRIER DISTRIBUTION SYSTEM

CERTIFICATE OF MAILING BY EXPRESS MAIL

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

I hereby certify that the attached Transmittal Letter, Utility Patent Application Transmittal, Patent Application, Declaration, Drawings, Recordation of Assignment, and copy of Assignment were deposited with the U.S. Postal Service for delivery by Express Mail on August 10, 2001. The number of the Express Mail mailing label is EF041588966US.

Respectfully submitted,



Steven J. Shapiro
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Intellectual Property and
Technology Law Department
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